



**ACCOUNT APPLICATION LETTER**  
**For Individuals- including FATCA declaration**  
**Execution-Only Dealing Service for Retail Clients with Investment Advisers or**  
**Managers**

## 1. Client(s) Details

Name(s):	
Address:	
Telephone number:	
Email address:	

The person identified above shall be referred to as “you” or “the Client” in this form.

## 2. Investment Adviser/Manager Details

Name:	
Contact person:	
Address:	
Telephone number:	
Email address:	

The investment advisor or investment manager identified above shall be referred to as the “Intermediary” in this form.

## 3. Account Application

By signing and returning this form you are applying to become a client of James Brearley for its execution-only dealing service as set out and subject to its Execution-Only Dealing Services Terms of Business for Retail Clients with Third Party Investment Advisers or Managers (the “**Terms**”).

You confirm that you have received copies of and that you understand and accept the Terms and the following documents:

- Order Execution Policy
- Privacy Policy

By signing and returning this form, you intend and understand that you will become a client of James Brearley and have appointed us to provide the services detailed in the Terms. You confirm that you are a UK Tax Resident and have provided your correct National Insurance number to the Intermediary to pass on to James Brearley. You agree to promptly inform the Intermediary of any changes within 30 days. You will also be aware that your information will be shared with UK tax authorities who may pass on to other tax authorities.

## 4. Your Investment Adviser or Manager

You confirm that you have appointed the Intermediary identified above as your investment adviser and/or investment manager, with authority to arrange transactions in financial instruments for your account, and to represent and act on your behalf as described in the Terms, and to agree changes to our costs and charges on your behalf from the date hereof.



## 5. Providing you with Information

You confirm that you have sent to information about us, our services or other information which we are required to provide to you, and which is not addressed to you personally, being provided to you by your Intermediary or by means of a website. Such information will be available at <https://www.jbrearley.co.uk> and in particular at the Downloads page, <https://www.jbrearley.co.uk/individual-investors/downloads/>, and/or at or such other website addresses as we may notify you (directly or via your Intermediary on your behalf) from time to time.

You also consent to the provision of information via email, a PDF document or by any other durable medium that is not paper and confirm you have regular access to the internet.

## 6. Order Execution

By accepting our Terms, you are specifically providing your acceptance of our Order Execution Policy.

## 7. Questions Regarding this Application

Should you have questions regarding this form, the Terms or other questions prior to signing and returning the form, please contact your Intermediary.

Signed,

James Brearley & Sons Limited

## Client(s) Acceptance

Signed: .....

Name(s):

Date:

.....  
INTERNAL USE:

James Brearley & Sons Ltd Account Number:-

## HEAD OFFICE

PO Box 34, Walpole House,  
Unit 2, Burton Road,  
Blackpool, FY4 4WX

Telephone: 01253 831100  
Facsimile: 01253 831102  
Email: [enquiry@jbrearley.co.uk](mailto:enquiry@jbrearley.co.uk)  
Website: [www.jbrearley.co.uk](http://www.jbrearley.co.uk)

## CONTACT DETAILS

Online Services Team: Tel: (01253) 831211

Email: [onlineservices@jbrearley.co.uk](mailto:onlineservices@jbrearley.co.uk)

James Brearley is the trading name of James Brearley & Sons Ltd.  
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